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Practitioner's Document No. 3782.002  
Customer No.: 24040

**Express Mail Label No.: EV 386941545 US**  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Ned E. Mott et al.  
Application No.: 10/826,581  
Filed: April 16, 2004  
For: CABLE WINCH SYSTEM

M/S: Amendment (IDS - no fee)  
Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

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**37 C.F.R. § 1.8(a)**

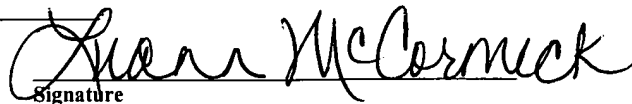
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**37 C.F.R. § 1.10\***

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Signature

Date: July 1, 2004

Luann McCormick

*(type or print name of person certifying)*

\* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

## **List of Sections Forming Part of This Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1. Form PTO/SB/08A (formerly Form PTO-1449)
2. Copies of Listed Information Items Accompanying This Statement
3. Translation(s) of Non-English Language Documents

### **Section 1. Forms PTO/SB/08A (formerly Form PTO-1449)**

- a. PTO/SB/08A (one page)

### **Section 2. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed in Form PTO/SB/08A (substitute for Form PTO-1449) accompany this information statement.

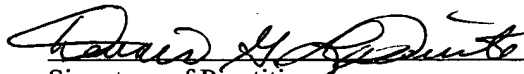
### **Section 3. Translation(s) of Non-English Language Documents**

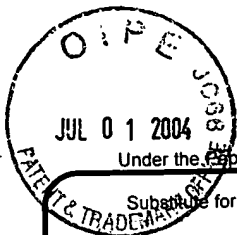
Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

- a. WO 86/05166 (abstract only)

Date: 6/30/04

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/826,581
Filing Date	April 16, 2004
First Named Inventor	Ned E. Mott et al.
Art Unit	
Examiner Name	
Attorney Docket Number	3782.002

Sheet 1 of

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	1	US-	2002/0117654 A1	08-29-2002	Y. BARTAL	
	2	US-	2,352,781	07-04-1944	E. FLETCHER ET AL.	
	3	US-	2,822,993	02-11-1958	V.T. SWANSON	
	4	US-	3,707,799	01-02-1973	L.J. HATLEY	
	5	US-	3,892,386	07-01-1975	E.R. HOGAN	
	6	US-	4,204,354	05-27-1980	M.J. KANE	
	7	US-	5,029,762	07-09-1991	BEHRENS ET AL.	
	8	US-	5,526,995	06-18-1996	WESTRICH ET AL.	
	9	US-	5,908,170	06-01-1999	KUNZ	
	10	US-	5,927,693	07-27-1999	L. HACKL	
	11	US-	5,967,446	10-19-1999	H. KUDRUS	
	12	US-	5,967,496	10-19-1999	A. ULRICH ET AL.	
	13	US-	6,109,558	08-29-2000	M. MAYER	
	14	US-	6,311,919 B1	11-06-2001	F. HERMANNNS ET AL.	
	15	US-	6,592,066 B1	07-15-2003	F. HERMANNNS ET AL.	
		US-				
		US-				
		US-				
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**FOREIGN PATENT DOCUMENTS**

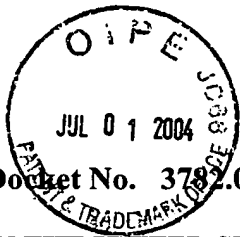
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
	16	WO	86/05166	09-12-1986	E. OBERAUER ET AL.	(Abstract only)	X
	17	JP	11-29294	02-02-1999	UNKNOWN		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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LA: LM1  
Docket No.: 3782.002

M/S: Amendment (IDS - no fee)  
Commissioner for Patents  
P O Box 1450  
Alexandria VA 22313-1450

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Luann McCormick

  
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3782c002.EMC.06302004.doc